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Attorneys for Plaintiff  
UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

-----X  
SHEET METAL WORKERS' NATIONAL PENSION  
FUND; NATIONAL ENERGY MANAGEMENT  
INSTITUTE COMMITTEE FOR THE SHEET METAL  
AND AIR CONDITIONING INDUSTRY; SHEET METAL  
OCCUPATIONAL HEALTH INSTITUTE TRUST;  
INTERNATIONAL TRAINING INSTITUTE FOR THE  
SHEET METAL AND AIR CONDITIONING INDUSTRY;  
and, NATIONAL STABILIZATION AGREEMENT OF THE  
SHEET METAL INDUSTRY FUND,

Civil No. 08-CV-0540 (DC)

**FRCP § 7.1  
STATEMENT**

Plaintiffs,

-against-

AUL SHEET METAL WORKS INC., and  
YVES JEROME, as an individual,

Defendants.

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Defendants AUL Sheet Metal Works Inc., and Yves Jerome, as individual, by their  
attorneys, Rivelis, Pawa & Blum, LLP, 286 Madison Avenue, 14<sup>th</sup> Floor, New York, New York  
10017, pursuant to FRCP § 7.1, state as follows:

Pursuant to Federal Rule of Civil Procedure 7.1 [formerly Local General Rule 1.9] and to enable District Judges and Magistrate Judges of the Court to evaluate possible disqualification or recusal, the undersigned counsel for Defendants (private non-governmental parties) certify that the following are corporate parents, affiliates and/or subsidiaries of said parties, which are publicly held:

None.

**WHEREFORE**, defendants respectfully set forth the foregoing pursuant to FRCP § 7.1.

Dated: February 14, 2008  
New York, New York

RIVELIS, PAWA & BLUM, LLP  
Attorneys for Plaintiffs

By: \_\_\_\_\_/S/\_\_\_\_\_  
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